



11/20/00

In the United States Patent and Trademark Office

Attorney Docket No: Z032-C

Anticipated Classification  
of this application:

Class: Subclass:

Prior application: 09/283,238

Group Art Unit: 2712

jc690 U.S. PTO  
09/715133  
11/20/00

Honorable Commissioner of  
Patent and Trademarks  
Washington, D. C. 20231

Request for Filing a Patent Application Under 37 CFR 1.53(b)  
to Correct Inventorship where at least one of the Correct  
Inventors was named in the Prior Application

This is a request for filing a

continuation

divisional

application under 37 CFR 1.53(b) of pending prior application serial no. 09/283,238, filed on April 1, 1999, entitled Instrument and Method for Digital Image Stabilization.

1.  Enclosed is a copy of the prior application as originally filed and a new declaration executed by the two correct inventors.
  
2.  A verified statement to establish small entity status under 37 CFR 1.9 and 1.27  is enclosed  was filed in the prior application and such status is still proper and desired [37 CFR 1.28(a)].

3. [X] The filing fee is calculated below:

Claims as filed in the prior application less any claims canceled by amendment below.

Fee	No. filed	No. extra	Rate	Fee
Basic fee				\$710.00
Total claims	14	-20 =	0	x 18.00 \$ 0
Independent claims	2	-3 =	0	x 80.00 \$ 0
Multiple Dependent Claim presented			0	+ 270.00 \$ 0
Total				\$710.00

- [X] The Commissioner is hereby authorized to charge payment of the following fees which may be required during the entire pendency of the application to Deposit Account No. 15-0773 or credit any overpayment to said account:

- [X] any patent application filing fees required under 37 CFR 1.16;
- [X] any filing fees required under 37 CFR 1.16 for the presentation of extra claims; and,
- [X] any patent application processing fees required under 37 CFR 1.17.

4. [X] A check in the amount of \$710.00 is enclosed.

5. [X] The inventors being claimed in the application are:  
Michael Claus and Karl-Heinz Eßwein.

6. [X] Amend the specification by inserting before the first line the sentence: -- This is a continuation of application serial no. 09/283,238, filed April 1, 1999. --.

7. [X] Please abandon the prior application as of the filing date accorded this application. A duplicate copy of this request is enclosed for filing in the prior application file.

8. [X] New drawings are enclosed.  
[X] formal drawings of FIGS. 1 and 2.
9. [X] Priority of application serial no. 198 14 951.4 filed on April 3, 1998 in the Federal Republic of Germany is claimed under 35 USC 119.  
[X] The certified copy has been filed in prior application serial no. 09/283,238, filed on April 1, 1999.
10. [X] A new assignment is enclosed duly executed by the correct inventors.
11. [X] The power of attorney is set forth in the new declaration executed by the two correct inventors and is to:  
  
Walter Ottesen  
Registration Number 25,544
- a. [X] The power also appears in the original papers in the prior application.
- b. [ ] Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. [X] Address all future communications to:  
  
Walter Ottesen  
Patent Attorney  
P.O. Box 4026  
Gaithersburg, Maryland 20885-4026
12. [X] An information disclosure statement is enclosed.
13. [X] I hereby verify that the attached papers (specification and drawings) are a true copy of those of the prior application serial no. 09/283,238, as filed on April 1, 1999.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and, further that these statements were made with the knowledge that willful false statements

and the like so made are punishable by fine or imprisonment, or both,  
under Section 1001 of Title 18 of the United States Code and that such  
willful false statements may jeopardize the validity of the application  
or any patent issuing thereon.

11/20/00

(date)



Walter Ottesen

Signatory is the Attorney of Record having Registration  
Number 25,544.

Walter Ottesen  
Patent Attorney  
P.O. Box 4026  
Gaithersburg, Maryland 20885-4026

Telephone: (301) 869-8950  
Telefax: (301) 869-8929

SEARCHED  SERIALIZED  INDEXED  FILED   
FEB 2 2001 USPTO

Instrument and Method for Digital Image Stabilization

Field of the Invention

The invention relates to an arrangement and a method for  
5 digitally stabilizing image recordings with a CCD sensor mounted  
in a moving or flying carrier.

Background of the Invention

Stabilization systems known up to now operate in accordance  
with the electromechanical principle with a cardanic suspension  
10 of the sensor while driving the positioning motors via an  
inertial sensor; or, CCD sensors are utilized when making aerial  
image recordations without stabilization. Here, a migration of  
the images must be accepted for a line sensor between the lines  
or between frames with an area sensor. This image migration is  
15 caused by disturbing movements of the carrier.

Generally, it is possible to provide image stabilization on  
board or in a ground station. In the first case, there is an  
on-line stabilization for display on a monitor and, in the second  
case, there is an off-line image evaluation. As a rule, the  
20 on-line stabilization is carried out. This takes place with area  
sensors in camcorders. An on-line correction is carried out and  
analog signal processing takes place.

For example, European patent publication 0,543,394 discloses  
that, for an area sensor, the movements occurring at the time  
25 point of the exposure are corrected on-line in a frequency range  
of 1 to 12 Hz. The correction takes place via an optical  
element. The correcting signals are determined via two sensors  
which each measure the angular acceleration or the angular  
velocity.

30 Furthermore, European patent publication 0,574,228 discloses

the determination of vibrations in the horizontal and vertical directions. Here, an on-line correction is made either via readdressing or reading out from a storage register at a lower frequency than for writing.

5                 Finally, United States Patent 4,959,725 discloses a very complex circuit for correcting the video signal of an area sensor while performing an evaluation with accelerometers.

However, all known solutions of the state of the art cannot be characterized as optimal with respect to their commercial 10 effectiveness because of the high cost associated therewith.

#### Summary of the Invention

It is an object of the invention to provide an arrangement and a method for digital image stabilization with which the movement influences of a moving or flying carrier on the image 15 quality of a sensor are compensated at low cost. The sensor moves or flies with the carrier. More specifically, a correction of the effects of roll and pitch of the aerial image carriers having line sensors and area sensors is made possible with at least pixel precision. This correction takes place digitally 20 with large data quantities of > 6,000 pixels per line and therefore takes place off-line and is economical.

The method of the invention is for digitally stabilizing an image recording with a CCD sensor, which is mounted in a moving or airborne carrier, for substantially eliminating unwanted 25 movement influences of flight movements of the carrier on the image quality of the image recorded by the CCD sensor. The method includes the steps of: detecting an image with the CCD sensor and outputting image data; detecting the flight movements of the carrier as angular data with an inertial sensor and the 30 inertial sensor being adapted to supply the angular data with a

time delay; and, correcting the image data in accordance with the detected angular data with the image data being time delayed by a time interval relative to the detected angular data.

Brief Description of the Drawings

5       The invention will now be described with reference to the drawings wherein:

FIG. 1 is a block circuit diagram of an arrangement of the invention for an on-line stabilization of image recordings; and,

10      FIG. 2 is a block circuit diagram of an arrangement for an off-line stabilization of the image recordings.

Description of the Preferred Embodiments of the Invention

With the method and arrangement for digitally stabilizing an image in accordance with the invention, image stabilization can take place on board, that is, an on-line stabilization for display on a monitor or in a fixed ground station, that is, an off-line image evaluation.

15      FIG. 1 shows a block circuit diagram of an on-line stabilization according to the invention and FIG. 2 shows a block circuit diagram of an off-line stabilization according to the invention. Here, the movements of the carrier during flight are detected as angle data 11 with the aid of an inertial sensor 2 and are, together with the image data 10, intermediately stored or recorded on a data carrier 3 or 6.

20      For an image data correction, image lines are displaced by corresponding angular increments transversely to the direction of flight for stabilization about the roll axis; whereas, a stabilization about the pitch axis takes place via an omission or a duplication of whole lines. The image data of neighboring pixels are interpolated in and transversely to the flight direction for a stabilization accuracy in the subpixel range.

The inertial sensor 2 detects the flying movements and can be a so-called "strap-down sensor". For this sensor, the stabilization result is essentially dependent upon the scale accuracy and the bandwidth of the sensor. The inertial sensor 2 has a finite bandwidth which is typically 100 Hz and therefore supplies the detected angular data 11 with a time delay. For this reason, its transmitting performance at low frequencies can be approached by a dead time element (linear phase, that is, phase lag proportional to frequency).

A dynamically calibrated gyro (DAK) is very well suited as an inertial sensor 2. With such devices, scale errors to 0.1% and bandwidths up to 100 Hz are achievable.

For an exemplary embodiment of a dynamically calibrated gyro (DAK), which is used as an inertial sensor 2, the pixel size of the sensor ( $dx$ ) was 12  $\mu\text{m}$ , the bandwidth of the objective ( $f$ ) was 60 mm; the angular expansion of the sensor ( $DAS = dx/f$ ) was 0.2 mrad and the line frequency was minimally 200 Hz and maximally 2,500 Hz. Sinusoidally-shaped disturbance excitations in the region of  $10^\circ/0.2$  Hz to  $0.2^\circ/10$  Hz have rotational increments of  $12.5^\circ/\text{sec} = 220$  mrad/sec.

For an exposure time of 0.4 ms, an image blurring of 0.09 mrad results which corresponds to 0.5 pixels; whereas, for an exposure time of 5 ms, an image blurring of 1.1 mrad results which corresponds to 5.5 pixels. Accordingly, an image blurring over several pixels must be accepted at low line frequencies which can no longer be corrected by the subsequent stabilization.

A total error or the quadratic sum of the residual error of the digital stabilization and the image blurring amounts to 0.22 mrad in dependence upon the line frequency or line

rate (LR) for LR = 2,500 Hz and to 1.12 mrad for LR = 200 Hz. In this way, the following results for the stabilization factor:

Disturbance Excitation:	Stabilization Factor for LR = 2,500 Hz (200 Hz)
5 $10^\circ/0.2$ Hz	792 (155)
$0.2^\circ/10$ Hz	16 (3)

The computer simulation of a dynamically calibrated gyro (DAK) having a bandwidth of 100 Hz and a scale error of 0.1%  
10 has shown that the stabilization error of the corrected image is  $\leq 0.2$  mrad (zero peak) for sinusoidally-shaped disturbance excitations in the range from  $10^\circ/0.2$  Hz to  $0.2^\circ/10$  Hz.

A digital image stabilization is therefore purposeful when the frequencies of the disturbance excitation are so low that no  
15 intense "blurring" occurs during the exposure time. The use of stabilization can be evaluated by the stabilization factor which is the amplitude ratio of disturbance excitation and residual image movement.

Especially even non-stabilized sensors can be economically  
20 expanded with an arrangement for digital image stabilization via corresponding modules and therefore made more valuable.

A digital image stabilization according to the invention can take place as an on-line stabilization (FIG. 1) for display on a monitor 5 or as an off-line stabilization in a fixed ground station. In the on-line stabilization, an inertial sensor 2 is provided on board and a device for intermediate storage 3 of image data as well as a device for image data correction 4. In the off-line stabilization, an inertial sensor 2 and an additional recording device 6 for angular sensor signals (angular  
25 data) are provided on board and, in the ground station, a device 4' is provided for carrying out an image correction in

accordance with registered or recorded angular sensor signals  
(angle data).

The arrangement and method for digital image stabilization  
use especially the concept of storing or time delaying the image  
5 data. For image correction, the image data are delayed relative  
to the recorded angle data by a time delay interval which  
considers the time delay caused by the inertial sensor as well as  
by scanning and computer time.

For the on-line stabilization (on board the carrier) as  
10 shown in FIG. 1, a detection of image data 10 takes place via a  
CCD sensor. The CCD sensor is utilized in a moving or flying  
carrier. These image data 10 are intermediately stored in a  
device 3 and are outputted as time-delayed image data 10' to a  
device for image correction 4. Additionally, the device for  
15 image data correction 4 receives data from an inertial sensor 2  
(angle data) which reflect the movements of the carrier. The  
device for image data correction 4 generates a stabilized  
image 13 from the delayed image data 10' and the angle data 11  
and this stabilized image is displayed on a monitor 5 which  
20 likewise is disposed on board the carrier.

In the off-line stabilization as shown in FIG. 2, a  
detection of the image data 10 takes place via a CCD sensor 2  
provided on board a moving or flying carrier. Furthermore, an  
inertial sensor 2 is configured on board the carrier which  
25 detects the flying movements of the carrier and outputs  
corresponding angular data 11. These angle data 11, together  
with the image data 10, are recorded in a recording device 6.  
For off-line evaluation and image data correction, the recorded  
image data and angle data 12 are outputted to a device for image  
30 data correction 4' in a ground station. This device for image

data correction 4' delays the image data relative to the recorded angle data by a time interval which considers the time delay caused by the inertial sensor as well as by scanning and computation time.

5       With the measures explained above, the problematic of unwanted movement effects of a moving or flying carrier on the image quality of a sensor (which moves with or flies with the carrier) is solved in a very economical manner via digital stabilization.

10      A performance increase is achieved by an integration of the arrangement and method according to the invention into electrooptical sensor systems.

15      It is understood that the foregoing description is that of the preferred embodiments of the invention and that various changes and modifications may be made thereto without departing from the spirit and scope of the invention as defined in the appended claims.

What is claimed is:

1. A method for digitally stabilizing an image recording with a CCD sensor, which is mounted in a moving or airborne carrier, for substantially eliminating unwanted movement influences of flight movements of said carrier on the image quality of the image recorded by said CCD sensor, the method comprising the steps of:
  - 5       detecting an image with said CCD sensor and outputting image data;
  - detecting said flight movements of said carrier as angular data with an inertial sensor and said inertial sensor being adapted to supply said angular data with a time delay; and,
    - 10      correcting said image data in accordance with said detected angular data with said image data being time delayed by a time interval relative to said detected angular data.
2. The method of claim 1, comprising the further step of intermediately storing said image data from said CCD sensor on a data carrier to delay said image data by a time interval.
3. The method of claim 2, wherein the correction of said image data is carried out as an on-line stabilization by performing the further step of displaying the corrected image data as a stabilized image on a monitor on board said carrier.
4. The method of claim 1, comprising the further step of:
  - 5       recording the detected angular data together with the image data from said CCD sensor on a data carrier; and,
  - carrying out the correction of image data as an off-line evaluation in a fixed ground station.

5. The method of claim 1, wherein said time interval for delaying considers the time delay by said inertial sensor as well as by scanning and computation time.

6. The method of claim 1, wherein said inertial sensor is a strap-down sensor.

7. The method of claim 1, comprising the further step of shifting the image lines by pixels by the corresponding angular increments transversely to the direction of flight when correcting said image data to stabilize about the roll axis while stabilizing about the pitch axis takes place by omitting or reproducing whole lines.

8. An arrangement for digitally stabilizing an image recording with a CCD sensor, which is mounted in a moving or airborne carrier, for substantially eliminating unwanted movement influences of flight movements of said carrier on the image quality of the image recorded by said CCD sensor, the arrangement comprising:

    said CCD sensor being provided to detect images and to output image data;

    an inertial sensor for detecting movements of said carrier as angular data;

    a first device for correcting said image data in accordance with said angular data; and,

    a second device for delaying said image data by a time interval relative to said image data.

9. The arrangement of claim 8, wherein said second device is an intermediate store for delaying said image data by said time interval.

10. The arrangement of claim 8, wherein said first device is mounted on board said carrier and is adapted to carry out an on-line stabilization; and, wherein said arrangement further comprises a monitor on board said carrier for displaying the  
5 corrected image data as a stabilized image.

11. The arrangement of claim 8, further comprising:

a unit for recording said angular data from said inertial sensor together with said image data on board said carrier; and,  
5 said first device for correcting said image data being mounted in a fixed ground station and said first device being adapted to carry out an off-line image evaluation.

12. The arrangement of claim 8, wherein the time interval for delaying considers the time delay by said inertial sensor as well as by scanning and computation time.

13. The arrangement of claim 8, wherein said inertial sensor is a strap-down sensor.

14. The arrangement of claim 8, wherein said first device is adapted to shift the image lines by pixels by the corresponding angular increments transversely to the direction of flight when correcting said image data to stabilize about the roll axis while stabilizing about the pitch axis takes place by omitting or reproducing whole lines.

Abstract of the Disclosure

The invention relates to a high-precision digital image stabilization of an image recording with a CCD sensor utilized in a moving or flying carrier. For the digital image stabilization, 5 the movements of the carrier are detected with the aid of an inertial sensor and stored. Then, a correction of the image data is carried out based on the detected movements.

FIG. 1

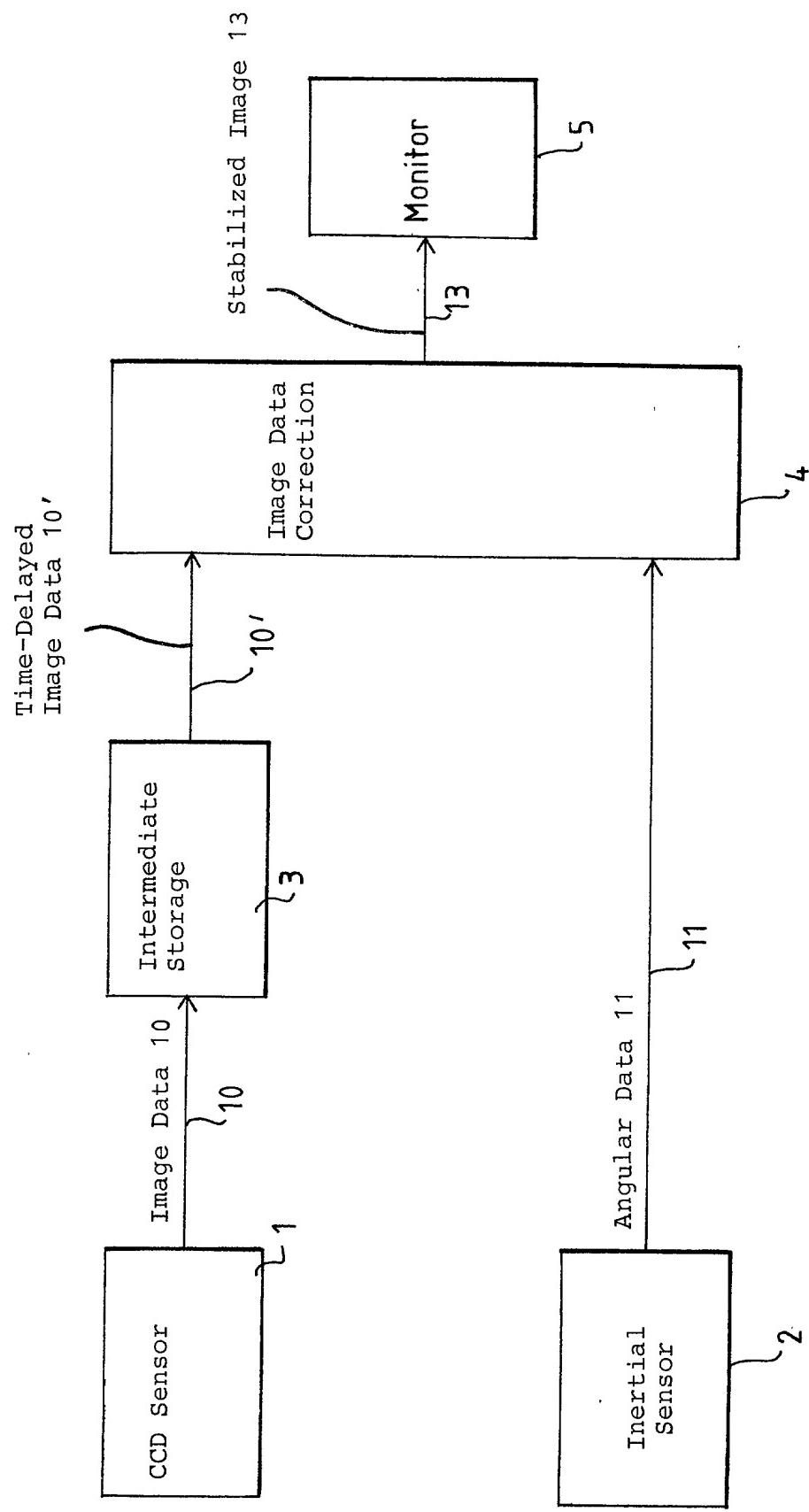
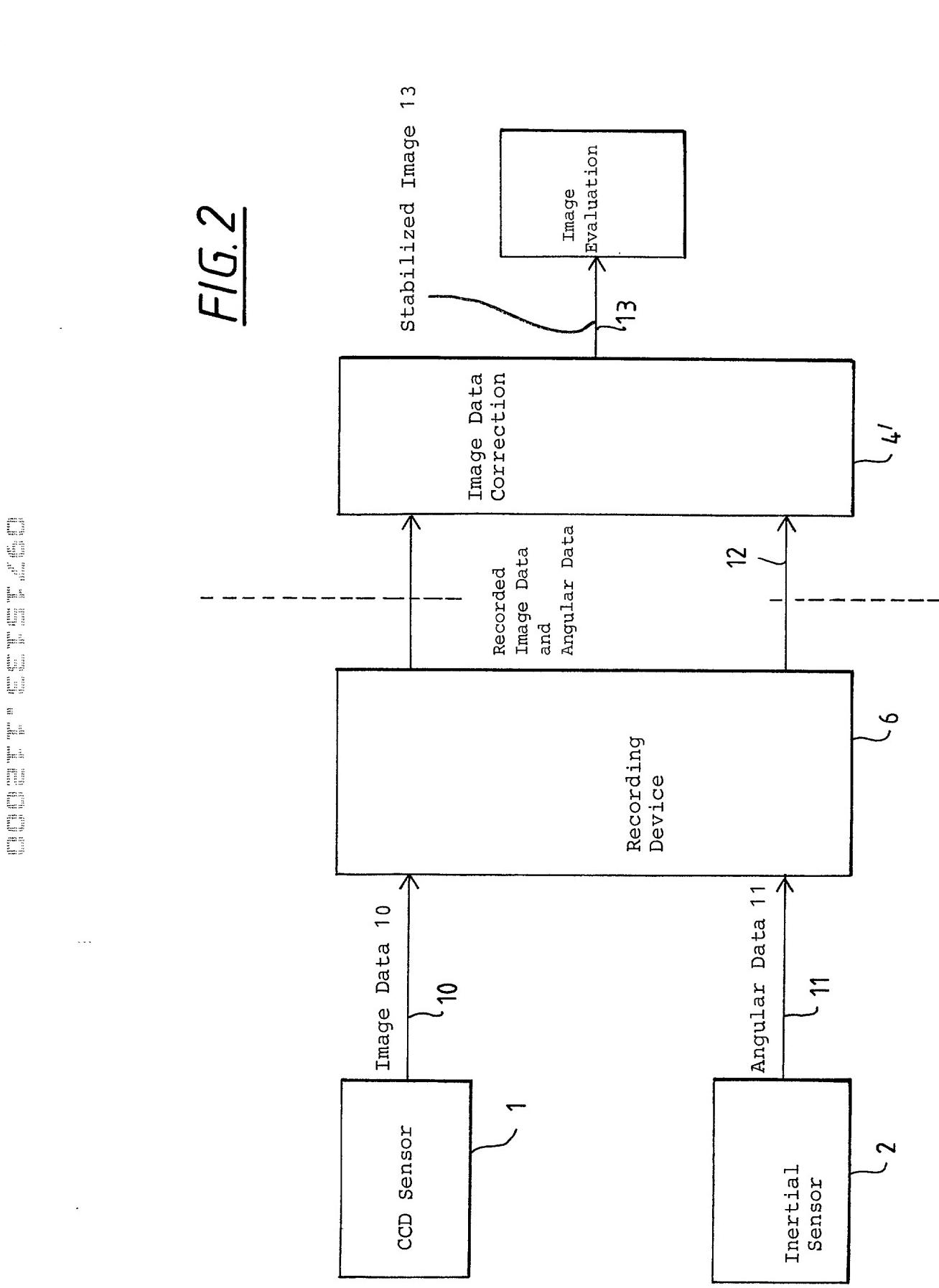


FIG. 2



Declaration and Power of Attorney for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Instrument and Method for Digital Image Stabilization, the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>	<u>Priority Claimed</u>			
198 14 951.4 (Number)	Federal Republic of Germany (Country)	03 Apr 98 Date Filed	X Yes	No
_____ (Number)	_____ (Country)	_____ Date Filed	_____ Yes	_____ No

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national filing date of this application:

09/283,238 Application Serial No.	01 Apr 1999 Filing Date	Pending Status
--------------------------------------	----------------------------	-------------------

As a named inventor, I hereby appoint the following attorney to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Walter Ottesen  
Reg. No. 25,544

Direct all telephone calls to Walter Ottesen at telephone no. (301) 869-8950 and address all correspondence to:

Walter Ottesen  
Patent Attorney  
P.O. Box 4026  
Gaithersburg, Maryland 20885-4026

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the

like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Michael Claus

Inventor's signature M. Claus Date 10-10-2000

Residence 73434 Aalen, Federal Republic of Germany

Country of Citizenship Federal Republic of Germany

Post Office Address Hermelinstraße 30/1, 73434 Aalen

Federal Republic of Germany

Full name of second inventor Karl-Heinz Eßwein

Inventor's signature K.H. Eßwein

Date 10-10-2000

Residence 73434 Aalen, Federal Republic of Germany

Country of Citizenship Federal Republic of Germany

Post Office Address Hohe Kreuzstraße 58, 73434 Aalen

Federal Republic of Germany

U.S. GOVERNMENT PRINTING OFFICE: 2001 500-100-017